

"ENHANCING ECONOMIC LOAD DISPATCH SOLUTIONS: A DUAL APPROACH USING ANT LION OPTIMIZATION AND PARTICLE SWARM OPTIMIZATION"

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ABSTRACT

This paper presents a comparative study of two advanced optimization techniques, Ant Lion Optimization (ALO) and Particle Swarm Optimization (PSO), applied to the Economic Load Dispatch (ELD) problem in power systems. The primary objective of ELD is to minimize the total fuel cost of power generation while satisfying the load demand and adhering to the operational constraints of the generators. Inspired by the predatory behavior of antlions, ALO employs a unique hunting mechanism that enhances solution exploration and exploitation. In contrast, PSO integrates principles of quantum mechanics into the traditional Particle Swarm Optimization framework, allowing for improved convergence and solution quality. The performance of both algorithms is evaluated on standard test systems, demonstrating their effectiveness in achieving lower operational costs and superior solution quality compared to conventional methods. The results indicate that while ALO excels in certain scenarios, PSO offers robust performance across diverse load conditions, making both methods valuable tools for optimizing economic load dispatch in modern power systems.

Keywords: *Ant Lion Optimization (ALO), Particle Swarm Optimization (PSO), Economic Load Dispatch (ELD), Optimization Techniques, Heuristic Algorithms, Load Demand Management.*

I. INTRODUCTION:

The Economic Load Dispatch (ELD) problem plays a crucial role in the efficient operation and management of modern power systems. It involves optimally distributing the real power generation among multiple generating units to meet a given load demand while minimizing the total generation cost. Achieving an optimal dispatch is essential in reducing operational costs and enhancing the reliability of the power supply [1], [2]. Traditional optimization techniques, including Lambda Iteration and Gradient methods, have been widely applied to solve the ELD problem; however, these methods often face challenges such as local minima and require derivative information, which limits their applicability in complex scenarios [3], [4]. Recent advancements in intelligent optimization algorithms offer promising alternatives to traditional methods. One such approach is the Particle Swarm Optimization (PSO), inspired by social behavior observed in birds and fish, which has demonstrated considerable success in various optimization problems, including ELD [5], [6]. Specifically, the Quantum Behaved Particle

Swarm Optimization (QPSO) variant incorporates concepts from quantum mechanics to enhance exploration capabilities, potentially overcoming some of the limitations associated with standard PSO [7], [8]. Another innovative optimization technique is Ant Lion Optimization (ALO), which mimics the hunting behavior of antlions. The ALO algorithm highlights a unique mechanism of solution exploration based on the foraging behavior of antlions capturing ants, thus allowing it to effectively navigate complex search spaces [9], [10]. Recent studies have shown that ALO can outperform several traditional optimization techniques in solving ELD problems, demonstrating its efficacy and efficiency across different load conditions [11], [12]. This paper aims to comprehensively evaluate ALO and QPSO in the context of the ELD problem. We will analyze their performance on standard test systems, comparing their solution quality and computational efficiency. The findings will contribute valuable insights into the potential of these advanced algorithms for enhancing economic load dispatch strategies in contemporary power systems.

II. PROBLEM STATEMENT:

The Economic Load Dispatch (ELD) problem in power systems is a critical optimization challenge aimed at determining the optimal power generation levels for multiple generating units, such that the total generation cost is minimized while satisfying system constraints. The primary objectives of the ELD problem are to ensure that the generated power meets the required load demand and to adhere to the operational constraints of each generator, such as minimum and maximum output limits. As power systems become increasingly complex due to the integration of renewable energy sources, fluctuating demand, and the necessity for efficient resource allocation, traditional optimization methods fall short in addressing the inherent nonlinearities and multiple constraints involved in the ELD problem. Consequently, there is a pressing need for advanced optimization techniques that can effectively handle these complexities.

This research aims to apply Particle Swarm Optimization (PSO) as a robust solution method for the ELD problem. The specific objectives are to:

1. **Minimize the Total Fuel Cost:** Develop an optimal dispatch strategy that reduces the overall cost of electricity generation while meeting the stipulated power demand.
2. **Satisfy Operational Constraints:** Ensure that the generation outputs of each unit remain within their predefined minimum and maximum limits, as well as to satisfy the power balance constraint that requires total generation to equal total demand.
3. **Improve Convergence and Efficiency:** Evaluate the performance of the PSO algorithm against traditional methods to demonstrate its effectiveness in achieving optimal solutions swiftly, even under various load conditions and operational constraints.

By investigating and implementing PSO for the ELD problem, this study aims to contribute to more efficient and economical operations in power generation, ultimately enhancing the reliability and sustainability of power systems.

III. Ant Lion Optimization (ALO) and Economic Load Dispatch (ELD) Using ALO:

Ant Lion Optimization (ALO) is a nature-inspired optimization algorithm that simulates the predatory behavior of ant lions, insects that catch their prey (usually ants) using traps. The algorithm is efficient for solving various optimization problems, including continuous, discrete, and constrained optimization tasks. Following are the key functions of ALO

- **Hunting Mechanism:** Ant lions create conical pits in the sand to trap ants. When an ant falls into the pit, the ant lion captures it as prey. ALO mimics this hunting strategy to search for optimal solutions.
- **Algorithm Components:** ALO consists of two main phases: In the *Ant Phase* the search agents (ants) explore the search space stochastically to find the prey (optimal solution). In the *Lion Phase*, after exploration, ant lions determine their positions based on their captured prey, leading the search towards more promising regions.
- **Movement Rules:** Ants' movements are guided not only by their previous locations but also by the best positions found by ant lions. This bi-level searching mechanism enables the algorithm to avoid local optima.

A. ELD using ALO:

The Economic Load Dispatch (ELD) problem is focused on determining the optimal output of generating units to satisfy a specific load demand at the minimum cost while adhering to operational constraints. The ELD problem can be formulated as an optimization problem, minimizing the total fuel cost, which can be expressed mathematically as follows:

$$\text{Minimize } F(P) = \sum_{i=1}^n F_i(P_i)$$

Where,

$F_i(P_i) = a_i P_i^2 + b_i P_i + c_i$ (cost function of the i-th generator),

P_i is the generated power of the i-th unit,

a_i , b_i , and c_i are cost coefficients

B. Constraints:

1. Power Balance Constraint: The sum of the power output from all generators must equal the total demand.
2. Minimum and Maximum Power Output Constraints: Each generator has limitations on the minimum and maximum power it can produce.

C. Implementing ELD Using ALO:

The following flowchart (Fig. 1) illustrates the steps involved in using ALO to solve the ELD problem:

Steps involved in ALO for ELD:

- **Initialization:** Set the number of ant lions, their positions, and other parameters (e.g., number of iterations).
- **Fitness Evaluation:** Evaluate the cost associated with the current generator outputs.
- **Ant Movement:** Ants update their positions by considering their best-found location and the best lion traps.

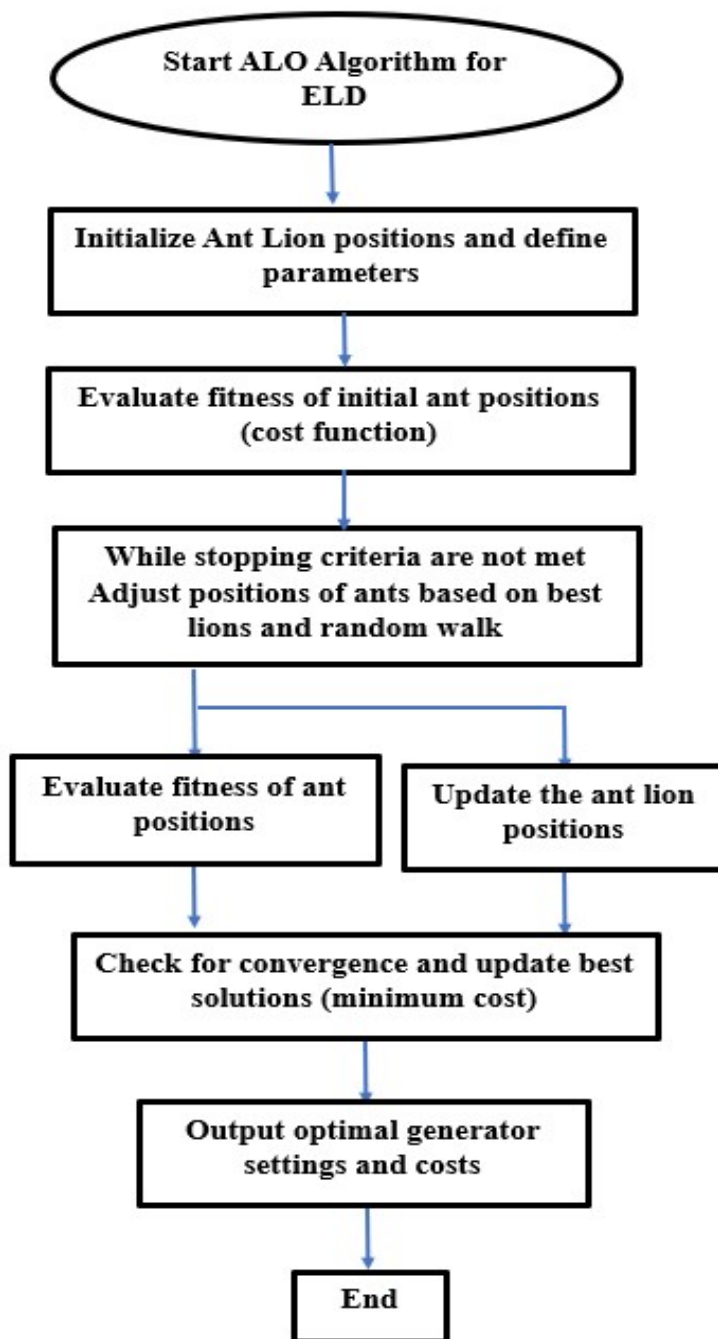


Figure 1: Flowchart illustrating ALO in ELD

- **Lion Update:** Determine the best fitness values and adjust the lion positions accordingly.
- **Convergence Check:** If criteria are satisfied (objective function convergence or maximum iterations), output results; otherwise, repeat.

ALO can be very effective in finding global optima for the ELD problem due to its flexible exploration and exploitation mechanisms, making it suitable for complex power system optimization tasks.

IV. Particle Swarm Optimization (PSO) and ELD Using PSO

Particle Swarm Optimization (PSO) is a population-based stochastic optimization technique inspired by the social behavior of birds and fish. It was developed by Eberhart and Kennedy in 1995. In PSO, a group of candidate solutions, called "particles," moves around in the problem space to find the optimal solution. The key concepts in PSO are as follows,

- **Particles and Swarms:** Each particle represents a potential solution to the optimization problem, characterized by its position and velocity in the search space. Together, all particles form a swarm.
- **Movement Rules:** Each particle adjusts its position based on its own experience and the experience of neighboring particles. The position update is influenced by:
- **Personal Best (pBest):** The best position that the particle has found so far.
- **Global Best (gBest):** The best position found by any particle in the swarm.
- **Velocity Update:** The velocity of each particle is updated using the following formula:

$$v_i(t+1) = w \cdot v_i(t) + c_1 \cdot r_1 \cdot (pBest_i - x_i(t)) + c_2 \cdot r_2 \cdot (gBest - x_i(t))$$

where:

$v_i(t)$ = current velocity of the i-th particle

w = inertia weight (controls the impact of the previous velocity)

c_1, c_2 = cognitive (individual) and social (swarm) coefficients

r_1, r_2 = random values between 0 and 1

$x_i(t)$ = current position of the i-th particle

- **Position Update:** After updating the velocity, the position of the particle is updated as follows: $x_i(t+1) = x_i(t) + v_i(t+1)$

A. ELD using PSO:

Economic Load Dispatch (ELD) aims to minimize the total operational cost of power generation while satisfying the demand and adhering to constraints. PSO can be effectively employed to solve the ELD problem by optimizing the output of generator units. The mathematical formulation of the ELD problem is defined as follows:

$$\text{Minimize } F(P) = \sum_{i=1}^n F_i(P_i)$$

$F_i(P_i) = a_i P_i^2 + b_i P_i + c_i$ (cost function of the i-th generator),

B. Constraints:

1. **Power Balance Constraint:** Total generated power must equal the load demand.

$$\sum_{i=1}^n P_i = P_D$$

2. **Generator Output Constraints:** $P_{\min i} \leq P_i \leq P_{\max i}$

Where P_D is the total load demand, and P_i are the output of individual generators.

C. Implementing ELD Using PSO

The following flowchart (Fig. 2) outlines the steps for employing PSO in solving the ELD problem:

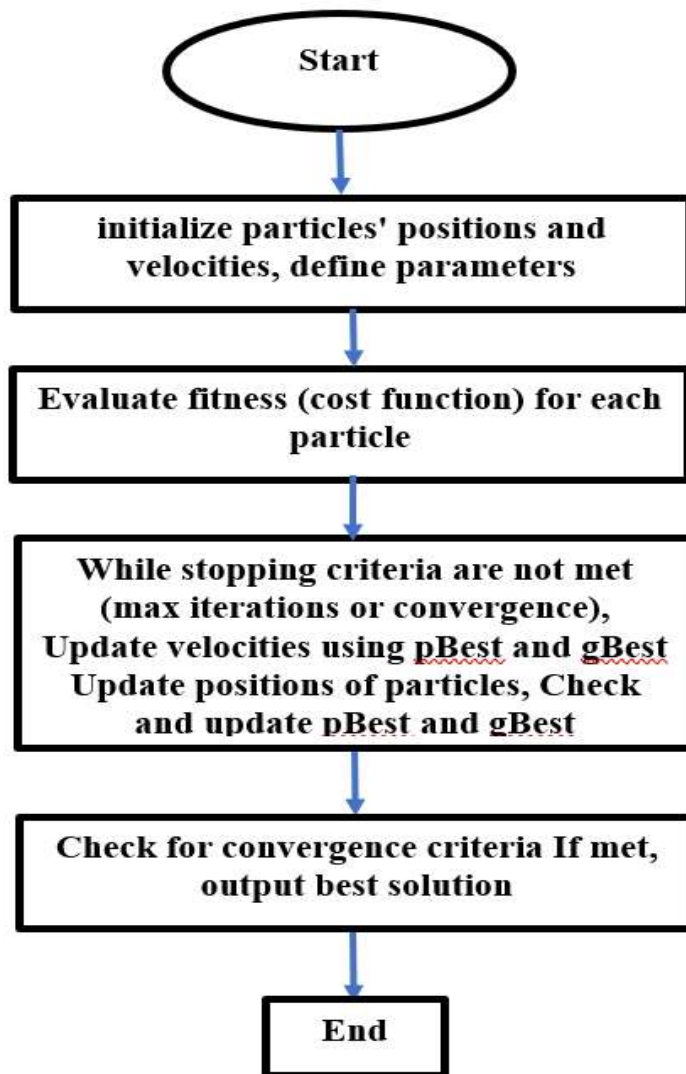


Figure 2: PSO flowchart in solving the ELD

- **Initialization:** Set the number of particles, their initial positions (generator outputs), and velocities.
- **Fitness Evaluation:** Calculate the total generation cost using the current positions of all particles.
- **Update Velocities:** Each particle updates its velocity based on its personal best position and the global best position found in the swarm.
- **Update Positions:** Adjust the position of each particle using the updated velocity.
- **Update pBest and gBest:** After assessing the new positions, update the personal best for each particle and the global best if a better solution is found.
- **Convergence Check:** Check if stopping criteria have been met (e.g., minimum cost achieved, maximum iterations reached).

V. TEST RESULTS

A. Six-Unit System

The generator coefficients are crucial in this analysis as they represent each generator's efficiency and performance characteristics in the system. These coefficients typically include fuel consumption rates, operational costs, and power output capabilities. In the analysis of the 6-generator system, the coefficients help determine how much power each generator can produce at different operational costs, which is essential for optimizing the overall power generation strategy. For instance, the coefficients influence the total cost of generation for a given power demand, as seen in the results for different optimization methods (PSO and ALO) across various power demands (600MW, 700MW, and 800MW). Tables I and II indicate the Generator Coefficients inequality constraints and Optimum Load Dispatch of 6-generator System respectively.

TABLE I. Generator Coefficients and Inequality Constraints of 6-Generator System

Unit	a	b	c	P (min)	P (Max)
1	0.15240	38.53973	756.79886	10	125
2	0.10587	38.53973	451.32513	10	150
3	0.2803	40.39655	1049.9977	35	225
4	0.0346	38.30553	1243.5311	35	210
5	0.02111	36.32782	1658.5596	130	325
6	0.01799	38.27041	1356.6592	125	315

TABLE II. Optimum Load Dispatch of 6-Generator System

Power Demand (MW)	600		700		800	
	PSO	ALO	PSO	ALO	PSO	ALO
P1	20.45500591	20.454	24.03318793	24.042	27.6310709	27.631
P2	29.44498323	29.443	34.5672164	34.609	39.77742532	39.775
P3	78.05261947	78.086	97.6614303	97.598	117.1300737	117.11
P4	91.19087276	91.208	106.6400238	106.63	122.0571854	122.06
P5	200.1492604	200.05	226.0325398	225.96	251.8618662	251.81
P6	180.7072582	180.16	211.0656018	211.16	241.5423785	241.56
COST	31320	31327	35859	35859	40500	40500

The analysis demonstrates the importance of selecting the right optimization method for power generation, as both PSO and ALO provide viable solutions. Understanding the generator coefficients and their impact on power output and cost is essential for operators to make informed decisions about which generators to activate based on current demand. The results

can guide future operational strategies, ensuring that power generation is both efficient and cost-effective, particularly in a dynamic energy market. The graphical representation of comparative results for 600 MW, 700 MW, and 800 MW using PSO vs ALO are depicted in fig. 3, fig. 4, and fig. 5 correspondingly.

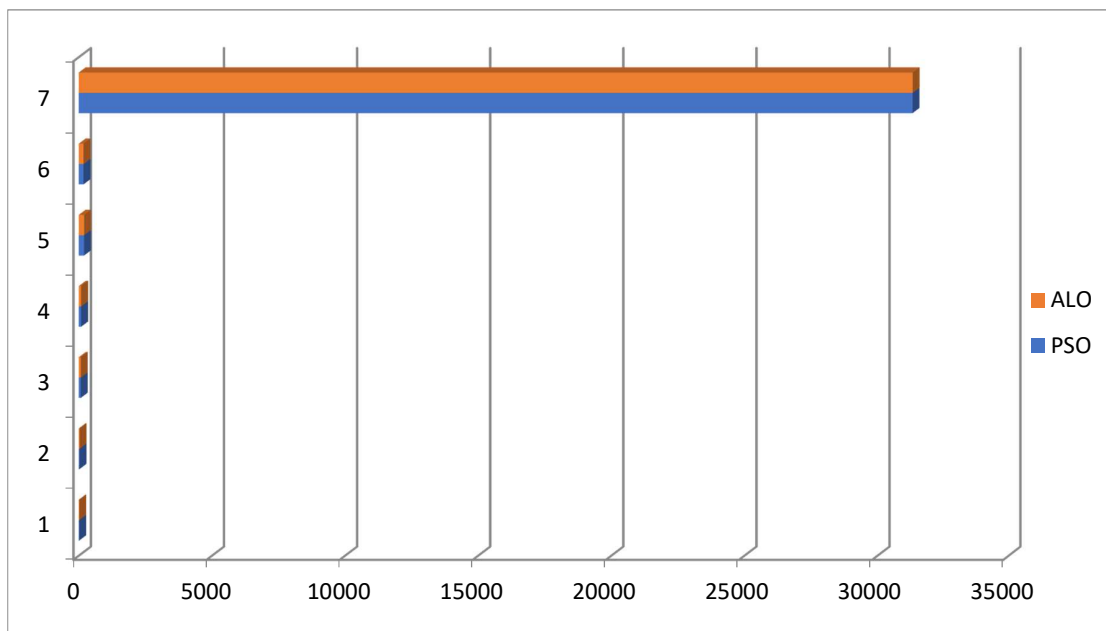


Figure 3: PSO vs ALO for 600 MW power demand

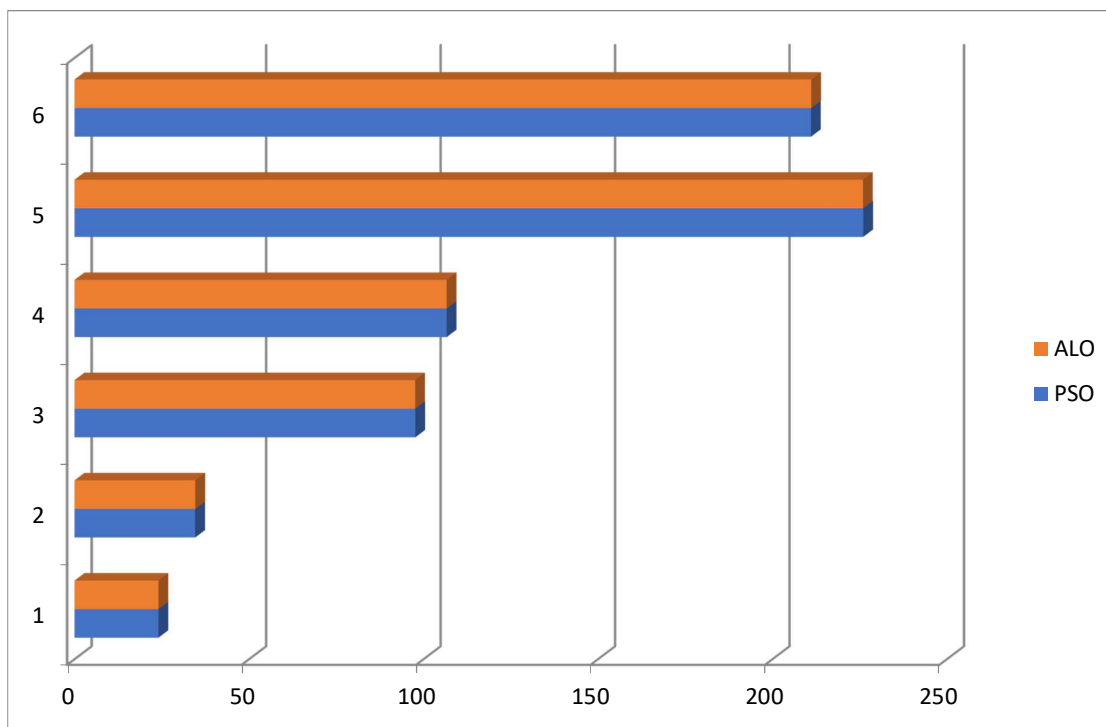


Figure 4: PSO vs ALO for 700 MW power demand

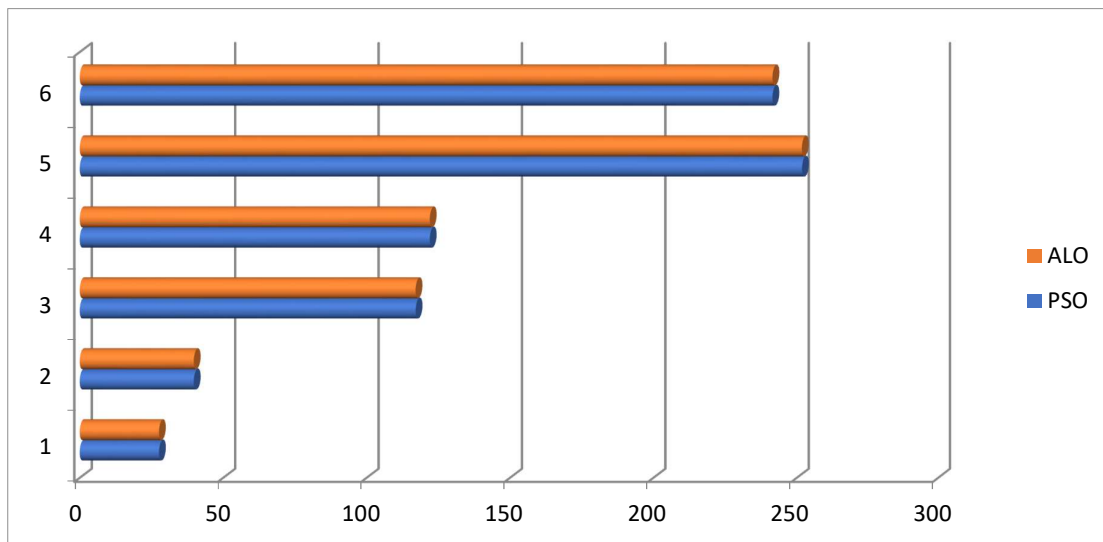


Figure 5: PSO vs ALO for 800 MW power demand

The detailed analysis of the 6-generator system across different power demands highlights the effectiveness of optimization techniques in managing power generation. By carefully examining the power outputs and costs associated with each method, operators can enhance their decision-making processes, ultimately leading to more sustainable and economical energy production.

B. Fifteen-Unit System

TABLE III. Generator Coefficients and Inequality Constraints of 15-Generator System

Unit	a	b	c	P (min)	P (Max)
1	0.000299	10.1	671	150	455
2	0.000183	10.2	574	150	455
3	0.001126	8.8	374	20	130
4	0.000205	8.8	374	20	130
5	0.000301	10.4	461	150	470
6	0.000364	10.1	630	135	460
7	0.000338	9.8	548	135	465
8	0.000807	11.2	227	60	300
9	0.001203	11.2	173	25	162
10	0.003586	10.7	175	25	160
11	0.003586	10.2	186	20	80
12	0.005513	9.9	230	20	80
13	0.000371	13.1	225	25	85
14	0.001929	12.1	309	15	55
15	0.004447	12.4	323	15	55

Tables III and IV highlight the Generator Coefficients and Inequality Constraints of the 15-Generator System and the Optimum Load Dispatch of the 15-Generator System respectively. The results indicate how PSO and ALO handle a more complex system with 15 units under a 2630MW demand. Here, costs remain closely matched, but the output variances illustrate the challenge of managing an extended network of generators. Notably, the highest discrepancies in output (e.g., between PSO and ALO for Units 2 and 6) suggest that certain units might perform inconsistently depending on the algorithm used. This data might prompt further investigation into the optimization algorithms' response to diverse generator performance characteristics and load conditions

TABLE IV. Optimum Load Dispatch of 15-Generator System

Unit	Power (MW)	
	PSO	ALO
P1	455	455
P2	408.5805	454.55
P3	129.9999	130
P4	130	130
P5	332.1885	256
P6	452.2129	459.98
P7	464.9997	465
P8	60.00309	60
P9	25	25
P10	25.01154	51.48
P11	40.3672	42.16
P12	51.63629	43.25
P13	25	25
P14	15	15
P15	15	17.112
Cost	32265	32269

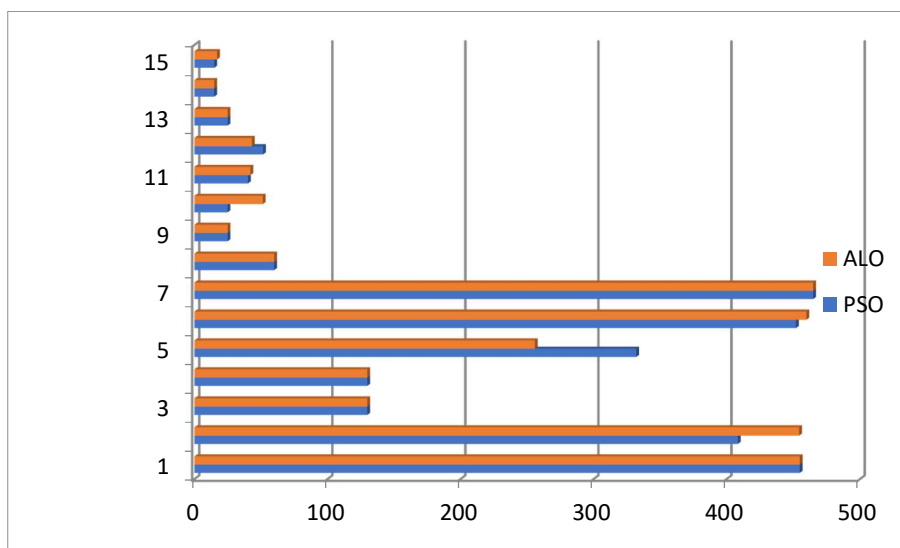


Figure 6: PSO vs ALO for 15-Generator units

Fig. 6 shows a comparative graphical representation of PSO vs ALO for 15-Generator units. Overall, comparing the costs across both systems and methods indicates that PSO tends to provide slightly lower operational costs across the board. This difference may indicate that PSO could better navigate the solution space or optimize resource allocation more effectively when considering cost minimization alongside power generation. While both ALO and PSO are effective optimization methods for managing power generation, PSO generally presents itself as the more efficient option in terms of cost and power distribution, particularly under varying demand scenarios. ALO can still be valuable, but its comparative performance suggests that it may need further refinement to match the optimal output and cost efficiency seen with PSO in this study.

VI. CONCLUSION

This paper presents a comprehensive comparative study of Ant Lion Optimization (ALO) and Particle Swarm Optimization (PSO) as applied to the Economic Load Dispatch (ELD) problem in power systems, specifically focusing on both a six-unit generator system and a more complex fifteen-unit generator system. The findings reveal that both optimization techniques effectively optimize power generation strategies to reduce costs while adhering to operational constraints and load demands. In the analysis of the six-unit system, it was evident that the generator coefficients significantly influence the efficiency and performance of each unit. The results demonstrated that PSO consistently provided lower operational costs across various load scenarios (600 MW, 700 MW, and 800 MW) compared to ALO. This indicates PSO's superior ability to navigate the solution space effectively, thus optimizing resource allocation and minimizing generation costs. Furthermore, the extended analysis of the fifteen-unit system underlines the complexity of managing a larger network of generators, where both optimization techniques faced challenges in balancing cost and output variances. Despite the closely matched costs in this scenario, discrepancies between the two methods highlight the need for further investigation into each algorithm's adaptability to diverse generator performance characteristics and varying load conditions. The combined insights from both the six-unit and fifteen-unit systems emphasize the importance of selecting appropriate optimization algorithms for economic load dispatch, particularly as power networks evolve with the integration of renewable energy sources. While ALO remains a valuable approach, its performance relative to PSO suggests that it may benefit from further refinement.

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